



Attorney Docket No. 17915-025 Date of deposit: April 14, 2000

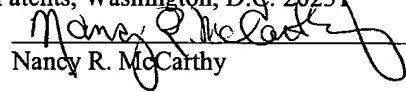
See for ~~AX~~

3

IN THE UNITED STATES PATENT AND TRADEMARK
Applicants: Etienne Barnard, et al.
Serial No.: 09/433,609
Filed: November 2, 1999
For: METHOD AND APPARATUS FOR DISCRIMINATIVE TRAINING OF
ACOUSTIC MODELS OF A SPEECH RECOGNITION SYSTEM
Examiner: Not Yet Assigned
Art Unit: 2741

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document (along with any fee or paper referred to as being enclosed) is being placed on the date indicated above in the United States mail with first-class postage attached, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231


Nancy R. McCarthy

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

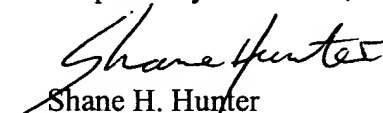
Sir: TRANSMITTAL LETTER

Enclosed herewith for filing in the above-identified trademark application please find the following listed items:

1. Response to Notice to File Missing Parts;
2. Copy of Part 2 of Form PTO-1533;
3. Verified Statement Declaration Claiming Small Entity;
4. Check in the amount of \$ \$484.00 to cover requisite fees;
5. Petition for Extension of Time for 3 months w/check in the amount of \$435.00;
6. Executed Combined Declaration and Power of Attorney; and
7. Return Postcard.

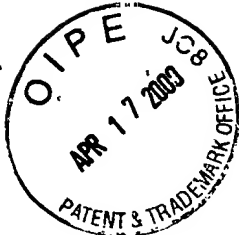
In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-0311, Reference 17915-025.
A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,



Shane H. Hunter
Registration No. 41,858
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center,
Boston, Massachusetts 02111 Telephone
617/348-1765

Accepted by the Commissioner of
Patents & Trademarks for \$ 464
Amount due and demanded



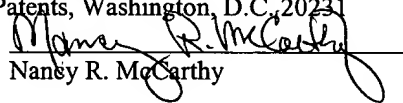
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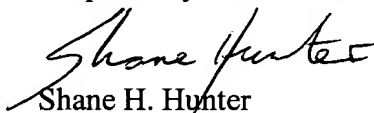
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

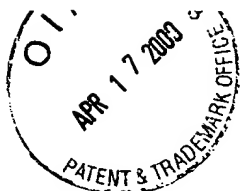
Sir:

Responsive to the Notice To File Missing Parts mailed November 29, 1999, Applicant submits herein the statutory basic filing fee of \$484.00, which includes payment of the surcharge for late filing (\$65.00). A Petition for Extension of Time for 3 months w/check in the amount of \$435.00 to cover extension fee. Also enclosed is a Verified Statement Declaration claiming Small Entity; an Executed Combined Declaration and Power of Attorney; and Return Postcard.

Respectfully submitted,



Shane H. Hunter
Registration No. 41,858
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center,
Boston, Massachusetts 02111
Telephone 617/348-1765



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/433,609 11/02/99 BARNARD E 47898-056

CHRISTOPHER J PALERMO
MODERMOTT WILL & EMERY
600 13TH STREET N.W.
WASHINGTON DC 20005-3095

Done By	
<input type="checkbox"/> File Folder	0222/1129
<input type="checkbox"/> Data Entry	129, 5/29/99
<input checked="" type="checkbox"/> Docket Entry	
<input type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Reg	
<input type="checkbox"/> Order Copies	
<input type="checkbox"/> Annuities	

BEST AVAILABLE COPY
NOT ASSIGNED

2741

DATE MAILED:

11/29/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 or a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

f all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 968

1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.
\$ 78 for 1 independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Patent Examination Division (703) 308-1202

04/19/2000 KZENDIE 00000046 500311 09433609

01 FC:205
02 FC:202
03 FC:201

20.00 CH

65.00 OP
39.00 OP
360.00 OP

Filing Fee